

JFW



60,469-198
OT-5126

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Oh, et al.
Serial Number: 10/524,385
Filed: 02/14/2005
Group Art Unit: 2988
Examiner: Unknown
Title: ELEVATOR CAB LOCATING SYSTEM
INCLUDING WIRELESS COMMUNICATION

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P. O. Box 450
Alexandra, VA 22313-1450

Dear Sir:

With respect to the above-identified patent application, Applicant respectfully requests a corrected Filing Receipt.

1. As indicated by the attached Credit Card Payment Form, the filing fee amount paid was \$540.00. It is requested that the section entitled FIL. FEE REC'D be changed from 450 to "540."

2. The correspondence address indicated on the Filing Receipt is incorrect, as evidenced by the attached copy of the Transmittal Letter to the United States Designated/Elected Office and Combined Declaration and Power of Attorney in Original Application. The address should be corrected to read:

"026096
CARLSON, GASKEY & OLDS, P.C.
400 WEST MAPLE ROAD SUITE 350
BIRMINGHAM, MI 48009"

3. The "SMALL ENTITY" designation should be removed from the Filing Receipt. Applicant did not claim small entity status on the Transmittal Letter to the United States Designated/Elected Office form.

Favorable consideration is respectfully requested.

Respectfully submitted,

CARLSON, GASKEY & OLDS

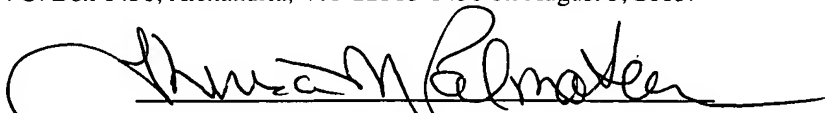


David J. Gaskey, Reg. No. 37,139
400 W. Maple Rd., Ste. 350
Birmingham, MI 48009
(248) 988-8360

Dated: August 5, 2005

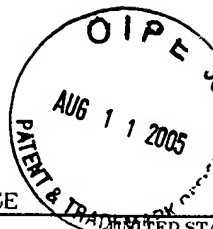
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on August 5, 2005.


Theresa M. Palmateer



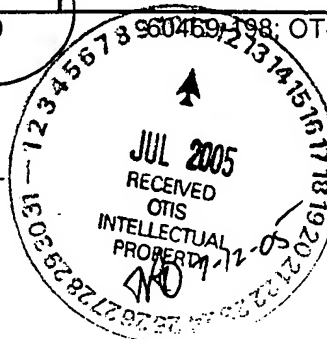
UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|-----------|--------------------|----------|----------|----------|
| 10/524,385 | 02/14/2005 | 2877 | 450 | 60469-198; OT-5126 | 3 | 16 | 3 |

26584
 OTIS ELEVATOR COMPANY
 INTELLECTUAL PROPERTY DEPARTMENT
 10 FARM SPRINGS
 FARMINGTON, CT 06032



CONFIRMATION NO. 6051
 FILING RECEIPT

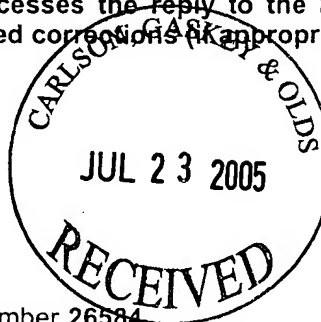


Date Mailed: 07/01/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jae-Hyuk Oh, Tolland, CT; ✓
 Alan M. Finn, Hebron, CT; ✓
 Pei-Yuan Peng, Manchester, CT; ✓



Power of Attorney: The patent practitioners associated with Customer Number 26584

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US02/32188 10/08/2002

Foreign Applications

Projected Publication Date: 10/06/2005

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Elevator cab locating system including wireless communication

Preliminary Class

356

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control,

Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

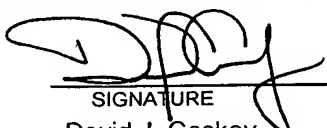
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|---|--|---|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 60469-198; OT-5126 |
| | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |
| INTERNATIONAL APPLICATION NO. PCT/US2002/032188 | INTERNATIONAL FILING DATE 8 October 2002 | PRIORITY DATE CLAIMED |
| TITLE OF INVENTION Elevator Cab Locating System Including Wireless Communication | | |
| APPLICANT(S) FOR DO/EO/US Oh, Jae-Hyuk; Finn, Alan M.; Peng, Pei-Yuan | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Credit Card Payment Form; Certificate of Express Mailing; Return Postcard | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|---|--------------|---|---|--|--------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. PCT/US2002/032188 | | ATTORNEY'S DOCKET NUMBER 60469-198; OT-5126 | |
| The following fees have been submitted | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee..... \$300 | | | | \$ 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100 All other situations..... \$200 | | | | \$ 100.00 | |
| 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500 | | | | \$ 100.00 | |
| TOTAL OF 21, 22 and 23 = | | | | \$ 500.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 17 - 100 = | /50 = | | x \$250 | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
| Total claims | 16 - 20 = | | x \$ 50 | \$ | |
| Independent claims | 3 - 3 = | | x \$200 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 500.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$ 500.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 500.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$540.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>50-1482</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-1482</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> | | | | | |
| <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| David J. Gaskey Carlson, Gaskey & Olds, P.C. 400 W. Maple Road, Suite 350 Birmingham, MI 48009 (248) 988-8360 | | |  SIGNATURE David J. Gaskey NAME 37,139 REGISTRATION NUMBER | | |

COMBINED DECLARATION AND POWER OF
ATTORNEY IN ORIGINAL APPLICATION

DOCKET NO.
OT-5126
60469-069

I declare: that my citizenship, residence and post office address is as stated below next to my name; that I believe I am the original, first and sole inventor (if only I am named below) or joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought in the application entitled

**ELEVATOR CAB LOCATING SYSTEM
INCLUDING WIRELESS COMMUNICATION**

that I have reviewed and understand the contents of the above-identified application, including the claims; that I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

I hereby appoint the following as my/our attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Practitioners at Customer Number #26584, John E. Carlson (Reg. No. 37,794), David J. Gaskey (Reg. No. 37,139); Theodore W. Olds (Reg. No. 33,080); Kerri A. Laba (Reg. No. 42,777); William S. Gottschalk (Reg. No. 44,130); David L. Wisz (Reg. No. 46,350); Karin H. Butchko (Reg. No. 45,864); John M. Siragusa (Reg. No. 46,174); Anthony P. Cho (Reg. No. 47,209); Anna M. Shih (Reg. No. 36,372).

Direct all correspondence to: David J. Gaskey, Carlson, Gaskey & Olds, 400 W. Maple, Ste. 350, Birmingham, MI 48009.

FULL NAME OF SOLE OR 1ST INVENTOR
Jae-Hyuk OH

CITIZENSHIP
South Korea

INVENTOR'S SIGNATURE

DATE

10/1/02

RESIDENCE AND POST OFFICE ADDRESS

5 Clearbrook Drive, Tolland, CT 06084

FULL NAME OF 2ND JOINT INVENTOR
Alan M. FINN

CITIZENSHIP
U.S.A.


INVENTOR'S SIGNATURE

DATE

10/1/02

RESIDENCE AND POST OFFICE ADDRESS

19 Cedar Ridge Drive, Hebron, CT 06248

| | | | |
|---|-----------------------|--|------------------|
| FULL NAME OF 3 RD JOINT INVENTOR Pei-Yuan PENG | CITIZENSHIP Taiwan | INVENTOR'S SIGNATURE  | DATE 07/01/02 |
| RESIDENCE AND POST OFFICE ADDRESS 345 Buckland Hills Drive, Apt. 16123, Manchester, CT 06040 | | | |
| FULL NAME OF 4 TH JOINT INVENTOR | CITIZENSHIP | INVENTOR'S SIGNATURE | DATE |
| RESIDENCE AND POST OFFICE ADDRESS | | | |
| FULL NAME OF 5 TH JOINT INVENTOR | CITIZENSHIP | INVENTOR'S SIGNATURE | DATE |
| RESIDENCE AND POST OFFICE ADDRESS | | | |
| FULL NAME OF 6 TH JOINT INVENTOR | CITIZENSHIP | INVENTOR'S SIGNATURE | DATE |
| RESIDENCE AND POST OFFICE ADDRESS | | | |